



3747

PATENT  
88528.0010

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

UTSUMI, et al.

Serial No: 10/501,362

Filed: July 13, 2004

For: ENGINE LUBRICATION SYSTEM

Art Unit: 3747

Examiner: Not Assigned

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450, on

June 10, 2005

Date of Deposit

Shindale Ferguson

Name

June 10, 2005

Signature

Date

**TRANSMITTAL OF POWER OF ATTORNEY**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

Enclosed is the following:

- ☒ An executed Power of Attorney (PTO/SB/82)
- ☒ Statement Under 37 CFR 3.73(b) (PTO/SB/96)
- ☒ A copy of the Assignment
- ☒ A copy of the Recordation Form Cover Letter
- ☐ A copy of the Notice of Recordation of Assignment Document

The Commissioner is authorized to charge any underpayment to our Deposit Account No. 50-1314. A copy of this letter is enclosed.

Respectfully submitted,

Date: June 10, 2005

By:

Lawrence J. McClure

Registration No. 44,228

Attorney for Applicant(s)

HOGAN & HARTSON L.L.P.

500 South Grand Avenue, Suite 1900

Los Angeles, California 90071

Phone: 213-337-6700

Fax: 213-337-6701



**POWER OF ATTORNEY  
and  
CORRESPONDENCE ADDRESS  
INDICATION FORM**

Application Number	10/501,362
Filing Date	July 13, 2004
First Named Inventor	UTSUMI, et al.
Title	Engine Lubricating Device
Art Unit	3747
Examiner Name	Not Assigned
Attorney Docket Number	88528.0010

I hereby revoke all previous powers of attorney given in the above-identified application.

I hereby appoint:

☒ Practitioners associated with the Customer Number:

26021

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The address associated with the above-mentioned Customer Number:

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☐ The address associated with Customer Number:

OR

☐ Firm or  
Individual Name

Address

City

State

Zip

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Signature	<i>T. Ohtsubo</i>	Date	May 26, 2005
Name	Toyoo OHTSUBO	Telephone	0538-32-1860
Title and Company	Senior General Manager, Legal and Intellectual Property Operations,		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 1 form is submitted.

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 122 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

YAMAHA  
HATSUDOKI  
KABUSHIKI  
KAISHA

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: UTSUMI, et al.

Application No./Patent No.: 10/501,362 Filed/Issue Date: July 13, 2004

Entitled: ENGINE LUBRICATING DEVICE

YAMAHA HATSUDOKI KABUSHIKI KAISHA, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is [PERCENTAGE]%

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: [ASSIGNOR] To: [ASSIGNEE]  
The document was recorded in the United States Patent and Trademark Office at Reel [REEL], Frame [FRAME], or for which a copy thereof is attached.
2. From: [ASSIGNOR] To: [ASSIGNEE]  
The document was recorded in the United States Patent and Trademark Office at Reel [REEL], Frame [FRAME], or for which a copy thereof is attached.
3. From: [ASSIGNOR] To: [ASSIGNEE]  
The document was recorded in the United States Patent and Trademark Office at Reel [REEL], Frame [FRAME], or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

  
Signature

June 10, 2005  
Date

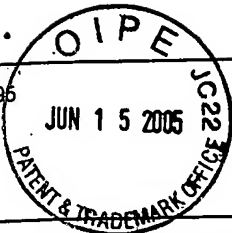
Lawrence J. McClure  
Printed or Typed Name

213-337-6810  
Telephone Number

Attorney  
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 122 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1460.

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RECORDATION FORM COVER LETTER  
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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Attorney Docket No.: 88528.0010

To the Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Yoji UTSUMI  
Masahiro ITO

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

Execution Date: June 25, 2004

2. Name and address of receiving party(ies):

YAMAHA HATSUDOKI KABUSHIKI KAISHA  
2500 Shingai, Iwata-shi,  
Shizuoka 438-8501 Japan

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Anthony J. Orlor, Esq.  
HOGAN & HARTSON L.L.P.  
500 South Grand Avenue, Suite 1900  
Los Angeles, CA 90071

6. Total number of applications and patents involved: [ 1 ]

7. Total fee (37 CFR 3.41) \$40.00

☐ Enclosed  
☒ Authorized to be charged to deposit account

8. Deposit account number:

50-1314

(Attach duplicate copy of this page if paying by deposit account)

**DO NOT USE THIS SPACE**

9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

Anthony J. Orlor

Date: July 13, 2004

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:

**Mail Stop Assignment Recordation Services**  
**Director of the U.S. Patent and Trademark Office**  
P.O. Box 1450  
Alexandria, VA 22313-1450

## ASSIGNMENT

(1-8) Insert Name(s) of Inventor(s) (1) Yoji UTSUMI (5)  
 (2) Masahiro ITO (6)  
 (3) (7)  
 (4) (8)

In consideration of the sum of one dollar (\$1.00) and other good and valuable considerations paid to each of the undersigned, the undersigned agree(s) to assign, and hereby does assign, transfer and set over to

(9) Insert name of Assignee (9) YAMAHA HATSUDOKI KABUSHIKI KAISHA

(10) Insert state of Incorporation of Assignee (10) Japan

(11) Insert address of Assignee (11) of 2500 Shingai, Iwata-shi, Shizuoka 438-8501 Japan (hereinafter designated as the Assignee) the entire worldwide right, title and interest in the invention known as

(12) Insert identification of Invention, such as Title, Case Number or Foreign Application Number (12) ENGINE LUBRICATION SYSTEM for which the undersigned has (have) executed an application for patent in the United States of America and all patent applications in foreign countries corresponding thereto or based thereon.

(13) Insert Date of Signing of Application (13) on June 25, 2004

1) The undersigned agree(s) to execute all papers necessary in connection with any original, reissue, divisional and continuing United States and foreign applications for the above-identified invention and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any division or divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed any agreement in conflict herewith.

6) The undersigned hereby appoints STUART LUBITZ, Reg. No. 20,680; LOUIS A. MOK, Reg. No. 22,585; JOHN P. SCHERLACHER, Reg. No. 23,009; WILLIAM H. WRIGHT, Reg. No. 36,312; DAVID LUBITZ, Reg. No. 38,229; WEI-NING YANG, Reg. No. 38,690; ALFRED A. D'ANDREA, JR., Reg. No. 27,752; WILLIAM J. KUBIDA, Reg. No. 29,664; STUART T. LANGLEY, Reg. No. 33,940; MICHAEL BYORICK, Reg. No. 34,131; CAROL W. BURTON, Reg. No. 35,465; STEVEN C. PETERSON, Reg. No. 36,238; STEVEN K. BARTON, Reg. No. 36,445; SARAH S. O'ROURKE, Reg. No. 41,226; E. MATTHEW G. DYOR, Reg. No. 42,278, the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date: June 25, 2004 Signature of Inventor Yoji Utsumi

Date: June 25, 2004 Signature of Inventor Masahiro Ito

Date: \_\_\_\_\_ Signature of Inventor \_\_\_\_\_

Date: \_\_\_\_\_ Signature of Inventor \_\_\_\_\_